

92/1645



PATENT

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Barbara L. Ramos  
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Applicant : Werner E. Martina, et al.  
Application No. : 10/035,836  
Filed : December 21, 2001  
Title : SURFACE ASSEMBLY FOR IMMOBILIZING DNA CAPTURE PROBES  
AND BEAD-BASED ASSAY INCLUDING OPTICAL BIO-DISCS AND  
METHODS RELATING THERETO  
Grp./Div. : 1645  
Examiner : To be assigned  
Docket No. : 45974/WPC/B697

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
March 20, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By Cynthia A. Bonner  
Cynthia A. Bonner  
Reg. No. 44,548  
626/795-9900

CAB/blr  
Enclosures: PTO/SB/08A/B, w/references  
BLR IRV1053154.1-\*.3/20/02 9:53 AM

FORM PTO/SB/08A/B (10/01)  
Substitute for PTO-1449A



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	45974/CAB/B697
Application Number	10/035,836
Filing Date	December 21, 2001
Applicant(s)	Werner E. Martina, et al.
Group Art Unit	1645
Examiner Name	To be assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5858804	01-12-1999	Zanzucchi, et al.
		5902723	05-11-1999	Dower, et al.
		6004617	12-21-1999	Schultz, et al.
		6013513	01-11-2000	Reber, et al.
		6030581	02-29-2000	Virtanen, J.
		6327013 B1	12-04-2001	Tombling, et al.

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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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